

FORM PTO-1390  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
**230.014**

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
**10/031668**

INTERNATIONAL APPLICATION NO.  
**PCT/SE00/01501**

INTERNATIONAL FILING DATE  
**July 18, 2000**

PRIORITY DATE CLAIMED  
**July 22, 1999**

TITLE OF INVENTION **System, Computer Program Product and Method for Recording and Analysing Performance Data**

APPLICANT(S) FOR DO/EO/US **Stefan Lundgren and Bengt Helmersson**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

**A copy of forms PCT/IB 301, 304, 306(three), 308 (three) and 332**

U.S. APPLICATION NO. (If known, see 37 CFR 1.55)

10/031668

INTERNATIONAL APPLICATION NO.

PCT/SE00/01501

ATTORNEY'S DOCKET NUMBER

230.014

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1040.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO ..... \$740.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT = \$1040.00**

\$ 1,040.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	52 - 20 =	32	X \$18.00	\$ 576.00
Independent claims	8 - 3 =	5	X \$80.00	\$ 400.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 0.00

**TOTAL OF ABOVE CALCULATIONS =**

\$ 2,016.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are  
reduced by 1/2.

(\$ 1,008.00)

**SUBTOTAL =**

\$ 1,008.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.49(f)).

\$ 0.00

**TOTAL NATIONAL FEE =**

\$ 1,008.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

**TOTAL FEES ENCLOSED =**

\$ 1,048.00

Amount to be:  
Refunded

\$

Charged

\$

- a. ☒ A check in the amount of \$1,048.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 50-1170 in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 50-1170. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

David D. Stein  
BOYLE, FREDRICKSON, NEWHOLM, STEIN & GRATZ S.C.  
250 E. Wisconsin Ave., Ste. 1030  
Milwaukee, WI 53202  
414-225-9755  
Fax: 414-225-9753

*David D. Stein*  
David D. Stein, Reg. No. 40,828

10031668-011802

01-22-02

10/031668 PCT

SUITE 1030

531 Re PCT

250 EAST WISCONSIN AVENUE

MILWAUKEE, WI 53202

PHONE: 414.225.9755 FAX: 414.225.9753

E-MAIL: MAIL@BOYLEFRED.COM

BOYLE  
FREDRICKSON  
NEWHOLM  
STEIN & GRATZ SC

INTELLECTUAL PROPERTY LAW

DAVID D. STEIN  
DIRECT DIAL: 414-225-1664  
DDS@BOYLEFRED.COM

January 18, 2002

DO/EO/US  
Director of the U.S. of Patent  
and Trademark Office  
Box PCT  
Washington, D.C. 20231

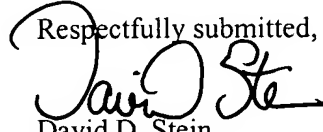
Re: Utility Patent Application Transmittal  
National Phase of PCT/SE00/01501  
International Filing Date: 18 July 2000  
Inventors: Stefan Lundgren and Bengt Helmersson  
Title: *SYSTEM, COMPUTER PROGRAM PRODUCT AND METHOD FOR  
RECORDING AND ANALYSING PERFORMANCE DATA*  
Assignee: aPROch AB  
Priority: Swedish Appln. No. SE 99 02773-2, Filed: 22 July 1999  
**USPTO Customer Account No.: 23598**  
Attorney No.: 230.014

Dear Sir:

Enclosed is our check in the amount of \$1,048.00 in payment of the government filing fee for the enclosed United States patent application, which application includes a 14 page specification, 52 claims on 10 pages, 9 sheets of drawings containing a total of 15 figures, a 27 page Preliminary Amendment that amends the amended claims submitted to the European Patent Office on September 14, 2001, an executed Declaration and Power of Attorney, an Assignment and recordation cover sheet, and a Transmittal Letter to the U.S. Designated/Elected Office. Also enclosed is a copy of form PCT/IB 301 indicating the International Bureau's receipt of the international application, form PCT/IB 304 indicating that the Swedish application upon which priority is claimed was transmitted to the International Bureau, form PCT/IB 306 (three) indicating the recording of changes to the international application, form PCT/IB 308 indicating that a copy of the international application has been communicated to the United States Patent Office, form PCT/IB 332 indicating the election of the United States Patent Office, a copy of the International Search Report, and a copy of the International Preliminary Examination Report attaching applicant's amended claims for the above-identified PCT patent application.

Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,  
  
David D. Stein  
Registration No. 40,828

**Certification Under 37 CFR 1.10**

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Director of the U.S. Patent and Trademark Office, Box Patent Application, Washington, D.C. 20231. The Express Label No. is: EV034622684US

Dated: January 18, 2002

  
Robyn O'Neill